



Part 2B of Form ADV: *Brochure Supplement*

Daniel C Caissie
714 B Southbridge St
Auburn, MA 01501
508-832-0114

IC Advisory Services, Inc.
1420 U.S. Highway 206 N.
Suite 210
Bedminster, NJ 07924

March 29, 2024

This brochure supplement provides information about Daniel C Caissie that supplements the IC Advisory Services, Inc. brochure. You should have received a copy of that brochure. Please contact Douglas A. Wright (908) 707-4422 if you did not receive IC Advisory Services, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Daniel C Caissie is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Full Legal Name: Daniel C Caissie **Born:** 1996

Education

- Suffolk University; Bachelor of Science in Business Administration, Finance; 2019

Business Experience

- Palmer Hammond Financial; Registered Rep; from January 2024 to Present
- The Investment Center, Inc.; Registered Rep; from January 2024 to Present
- IC Advisory Services, Inc.; Investment Advisor; from January 2024 to Present
- Palmer Hammond Financial; Licensed Sales Assistant; from September 2021 to December 2023
- Northwestern Mutual Wealth; Representative; from June 2021 to September 2021
- Northwestern Mutual; Registered Representative; from November 2020 to September 2021

Item 3 Disciplinary Information

Daniel C Caissie has no reportable disciplinary history.

Item 4 Other Business Activities

A. Investment-Related Activities

1. Daniel C Caissie is also engaged in the following investment-related activities:

Registered representative of a broker-dealer

Registered Representative of The Investment Center, Inc. Mr. Caissie is a registered representative of The Investment Center, Inc. (“TIC”), an SEC Registered and FINRA member broker-dealer. Clients may choose to engage Mr. Caissie in his individual capacity as a registered representative of TIC, to implement investment recommendations on a commission basis.

Conflict of Interest. The recommendation by Mr. **Wright** that a client purchase a securities commission product presents a **conflict of interest**, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client’s need. No client is under any obligation to purchase any commission products from Mr. Caissie. Clients are reminded that they may purchase investment products recommended by Mr. Caissie through other, non-affiliated broker dealers. **The Registrant’s Chief Compliance Officer, Douglas A. Wright,**

remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.

Insurance company or agency

Licensed Insurance Agent. Mr. Caissie, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Caissie to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Mr. Caissie that a client purchase an insurance commission product presents a ***conflict of interest***, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Caissie. Clients are reminded that they may purchase insurance products recommended by Mr. Caissie through other, non-affiliated insurance agents. **The Registrant's Chief Compliance Officer, Douglas A. Wright, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

2. Daniel C Caissie receives commissions, bonuses or other compensation on the sale of securities or other investment products.

Commissions. In the event the client chooses to purchase investment products through *TIC*, brokerage commissions will be charged by *TIC* to effect securities transactions, a portion of which commissions shall be paid by *TIC* to Mr. Caissie. The brokerage commissions charged by *TIC* may be higher or lower than those charged by other broker-dealers. In addition, *TIC*, as well as Mr. Caissie, relative to commission mutual fund purchases, may also receive additional ongoing 12b-1 trailing commission compensation directly from the mutual fund company during the period that the client maintains the mutual fund investment. The securities commission business conducted by Mr. Caissie is separate and apart from IC Advisory Services Inc.'s investment management services discussed in the Registrant's *Brochure*.

B. Non Investment-Related Activities

Daniel C Caissie is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his or her time.

Item 5 Additional Compensation

Daniel C Caissie does not receive any economic benefit from a non-advisory client for the provision of advisory services.

Item 6 Supervision

Supervisor: Nicholas Hammond

Title: Branch Manager

Phone Number: 508-832-0114

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Adviser's Act ("*Act*"). The Registrant's Chief Compliance Officer, Douglas A. Wright, is primarily responsible for the implementation of the Registrant's policies and procedures. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Wright at (908) 707-4422.